

10/582359

1AP20 Rec'd PCT/PTO 09 JUN 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : To Be Assigned Confirmation No. : TBA
First Named Inventor : Reiner JOCHER
Filed : June 9, 2006
TC/A.U. : To Be Assigned
Examiner : To Be Assigned
Docket No. : 095309.57810US
Customer No. : 23911
Title : Vehicle Lighting Device

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 AND 1.98**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, the attached Form PTO-1449 lists documents which the Examiner may deem relevant to patentability of the claims of the above-identified application.

I. Time Period of Submission

This Information Disclosure Statement is submitted:

☒ 1) no later than three months from the application's filing date or 2) before the mailing date of the first Office Action on the merits (whichever is later) or 3) before a first Office Action after the filing of a Request for Continued Examination, and therefore no statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

☐ 2) after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, a Notice of Allowance, or an action closing prosecution (*Ex parte Quayle*), (whichever is earlier), and therefore Applicant is filing concurrently herewith:

☐ a Statement under 37 C.F.R. § 1.97(e); or

☐ a fee in the amount of \$180.00 under 37 C.F.R. § 1.17(p).

☐ 3) after either a Final Office Action or a Notice of Allowance, but before payment of the Issue Fee, and therefore Applicant is submitting herewith:

a Statement under 37 C.F.R. § 1.97(e); and

a fee in the amount of \$180.00 under 37 C.F.R. § 1.17(p).

II. Statement Under 37 C.F.R. § 1.97(e)

☐ I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or

☐ I hereby state that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making a reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement; or

III. Statement under 37 C.F.R. § 1.704(d)

☐ I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement.

IV. Submission of Non-English Language Documents

☒ The following is a concise explanation of relevance of the non-English language documents listed in the attached Form PTO-1449:

☒ The relevance of document(s) AS to the subject matter of the present invention is/are provided in the specification of the above-identified application.

☒ Corresponding foreign or international report(s) citing document(s) AJ, AK, AN and AO, together with an English-language version(s) (if not already in English) of that portion of the report(s) indicating the degree of relevance found by the foreign office(s) is/are submitted.

☒ English language family member publication(s) of document(s) AS - AU is/are noted on Form PTO-1449.

☒ English language abstract(s) is/are submitted for document(s) AP - AU, AY-BA.

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PATENT

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☐ English translation(s) of the foreign language document(s)
_____ is/are submitted herewith.

☐ Applicant submits the following explanations:
_____.

V. Continuations/Divisionals

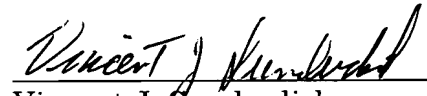
☐ Documents _____ were of record in parent application Serial No. _____, filed _____, from which this application claims benefit. As provided in 37 C.F.R. §1.98(d), copies of the documents are not being provided since they were previously submitted to or cited by the United States Patent and Trademark Office in the afore-mentioned parent application.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

If necessary, this paper should be considered as an authorization to charge Deposit Account 05-1323, Attorney Docket No.: 095309.57810US, for the fee set forth in 37 C.F.R. § 1.17(p).

Respectfully submitted,

June 9, 2006



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Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Complete if Known **10/582359**

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Examiner Initials'	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
	AA	US- 6,190,030	B1	02/20/2001	Chase	
	AB	US- 6,331,068	B1	12/18/2001	Chase	
	AC	US- 2004/0012975	A1	01/22/2004	Chase et. al.	
	AD	US- 2001/0046140	A1	11/29/2001	Chase et. al.	
	AE	US- 2001/0040811	A1	11/15/2001	Chase et. al.	
	AF	US- 7,004,608	B2	02/28/2006	Goller	
	AG	US- 2003/0179588	A1	09/25/2003	Goller	
	AH	US- 4,070,051		01/24/1978	Peter	
	AI	US- 4,475,148		10/02/1984	Tomforde	

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Complete if Known	
		Application Number	To Be Assigned
		Filing Date	10/19/2003
		First Named Inventor	Reiner JOCHER
		Art Unit	To Be Assigned
		Examiner Name	To Be Assigned
Sheet 2 of 3	Attorney Docket Number	095309.57810US	

FOREIGN PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	AJ	DE 100 62 735 A1	06/20/2002	Robert Bosch GmbH		
	AK	EP 0 978 417 A2	02/09/2000	Hella KG Hueck & Co.		
	AL	WO 00/49333 A1	08/24/2000	Chase, Lee, Allen	Corresponds to AA - AE above	
	AM	GB 1 452 727	10/13/1976	Ford Motor Co. Ltd.		
	AN	DE 38 02 104 A1	08/03/1989	Bayerische Motoren Werke AG		
	AO	EP 0 620 137 A1	10/19/1994	Valeo Vision		
	AP	EP 1 147 940 A1	10/24/2001	Peugeot Citroen Automobiles SA		ABS
	AQ	DE 100 30 373 A1	01/03/2002	Volkswagen AG		ABS
	AR	FR 2 781 735 B1	02/04/2000	Compagnie Plastic Omnium		ABS
	AS	DE 102 11 972 A1	10/16/2003	Bayerische Motoren Werke AG	Corresponds to AF and AG above	ABS
	AT	DE 25 42 920 B2	03/31/1977	Dr. Ing. h.c.F. Porsche AG	Corresponds to AH above	ABS
	AU	DE 31 08 059 C2	10/07/1982	Daimler-Benz AG	Corresponds to AI above	ABS
	AV	EP 1 048 895 A1	11/02/2000	Ford Global Technologies, Inc.		
	AW	EP 1 288 068 A1	03/05/2003	Ford Global Technologies, Inc.		
	AX	EP 1 332 915 A2	08/06/2003	Ford Global Technologies, Inc.		
	AY	EP 1 346 874 A1	09/24/2003	Peugeot Citroen Automobiles SA		ABS
	AZ	WO 02/102645 A1	12/27/2002	Valeo Thermique Moteur		ABS
	BA	FR 2 789 028 A1	08/04/2000	Peugeot Citroen Automobiles SA		ABS

Examiner Signature	Date Considered
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PTO/SB/08b (08-03)
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			Application Number	To Be Assigned	
			Filing Date	June 9, 2006	
			First Named Inventor	Reiner JOCHER	
			Art Unit	To Be Assigned	
			Examiner Name	To Be Assigned	
Sheet	3	of	3	Attorney Docket Number	095309.57810US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	BB	International Search Report dated March 14, 2005 including English Translation of relevant portion (Five (5) pages).	
	BC	German Office Action dated May 17, 2004 (Five (5) pages)	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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